

30.12.2020

# Guidelines for Special Provision for Conversion of CDM Projects: Carbon Registry- India

**(DRAFT) VERSION 1.0**

**NETWORK FOR CERTIFICATION AND CONSERVATION OF FORESTS**





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## ABBREVIATIONS

- CDM: Clean Development Mechanism
- CER: Certified Emission Reduction
- CR-I: Carbon Registry-India
- CS: Carbon Standard
- DE: Delegate Entity
- DOE: Designated Operated Entity
- GHG: Greenhouse Gas
- IPP: Independent Project Proponent
- MCU: Marketable Carbon Unit
- NCCF: Network for Certification and Conservation of Forests
- PDD: Project Design Document
- RIP: Registration and Issuance Procedure
- SDG: Sustainable Development Goals
- VVB: Validation and Verification Body
- VVS: Validation and Verification Standard



## 1. Introduction

Network for Certification and Conservation of Forests (NCCF) under Carbon Registry-India (hereinafter referred to as the Registry) allows the conversion of projects from other GHG programmes to the Registry as per the rules and requirements of the Carbon Standard. However, in such cases, the MCUs are issued after the date of conversion of the project. The Registry, through CR-I Guidelines for Special Provision for Conversion of CDM projects (hereinafter referred to as the guidelines) establishes rules, requirements and procedures for projects registered under CDM to be converted under the Registry, and in some cases enables issuance of MCUs for a limited time period before the date of conversion, *i.e.*, retroactive issuance of MCUs.

## 2. Scope and Applicability

### 2.1 Scope

- 2.1.1. The guidelines establish rules, requirements and procedures for those CDM projects which seek conversion to the Registry and retroactive MCU issuance.
- 2.1.2. The guidelines establishes rules, requirements and procedures for the following:
  - (i) Conversion of Project from CDM to CR-I under special provision
  - (ii) Retroactive issuance of MCUs
- 2.1.3. The scope of the guidelines does not include conversion of CERs issued under CDM to MCUs.

### 2.2 Applicability

- 2.2.1. The guidelines shall be applicable during the following stages:
- 2.2.2. The guidelines shall be applicable for projects which:
  - (i) Have been registered under CDM on or after January 1, 2016; and
  - (ii) Have not issued CERs for 2019 and beyond



- 2.2.3. The guidelines shall be applicable for 2 years from the start of operationalisation of the Registry.

### 3. Conversion of CDM Project and MCU Issuance

- 3.1. The rules, requirements and procedures established in the guidelines are additional to those in CS, VVS and other regulatory documents, as applicable. The guidelines do not establish alternative rules and requirements for converted projects as per definition of Section 11 of CS unless otherwise specified.

#### Registration of Project

- 3.2. For CDM project seeking conversion of project and retroactive MCU issuance, DE on behalf of IPP(s) shall inform NCCF prior to initiation of registration procedure as per Registration and Issuance Procedure (RIP).
- 3.3. DE shall inform NCCF *via* sending an email to [cri.projects@nccf.in](mailto:cri.projects@nccf.in) and [carbon.registry@nccf.in](mailto:carbon.registry@nccf.in) at least 15 (fifteen) days prior to submission of CDM project for listing as per the Registration and Issuance Procedure (RIP). The email shall consist of the following information:
- (i) Complete name and reference/registration number of project under CDM
  - (ii) Date of registration and start date of crediting period
  - (iii) If applicable, Monitoring Period(s) elapsed under CDM (number and dates in DD.MM.YYYY format)
  - (iv) If applicable, CERs issued (number, type – tCER/ICER, vintage and serial block)
  - (v) If applicable, CERs cancelled
  - (vi) Status of deregistration application with CDM
  - (vii) If applicable, in process application(s)/request(s) with CDM other than deregistration<sup>1</sup>.
- 3.4. In addition to baseline scenario established in registered PDD of the project, IPP(s) shall also establish baseline scenario for contribution of project towards SDGs as required under the Registry.

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<sup>1</sup> This may include request for issuance, approval of post registration changes, *etc.*



- 3.5. The following shall apply for the crediting period of converted project under this special provision:
- (i) The IPP(s) shall select the crediting period as per the provision in the CS irrespective of the type and duration of crediting period selected previously under CDM.
  - (ii) Start of crediting period shall remain the same as under CDM.
  - (iii) Monitoring Periods under the Registry shall begin from January 1, 2019 and any previous Monitoring Period and/or crediting period shall be deemed to have lapsed.
- 3.6. The following shall be applicable for selection of VVB for the validation of the project:
- (i) VVB shall be empanelled under the Registry for the sectoral scope(s) of the project.
  - (ii) VVB which has undertaken validation for registration of project under CDM as a DOE shall not be allowed to undertake validation of project under the Registry.
  - (iii) VVB which has undertaken the last auditing activity of the project under CDM as a DOE (example validation for Post Registration Changes, verification of monitoring, *etc.*) shall not be allowed to undertake validation for registration of project under the Registry.
- 3.7. IPP(s) shall completely adhere to rules, requirements and procedures established in RIP for registration of project under the Registry and shall pay fee as per CR-I Fee Schedule.

### **Issuance of MCUs**

- 3.8. The following shall be applicable for retroactive issuance of MCUs for the project, *i.e.*, from period commencing January 1, 2019:
- (i) The project has not requested or been issued CERs for 2019 and beyond.
  - (ii) The first monitoring period under the Registry commences from January 1, 2019.
  - (iii) The project demonstrates complete compliance to rules, requirements and procedures related to contribution of project towards sustainable development, especially contribution to at least 4 SDGs as per the CR-I Tool



for Determination of Contribution of Project towards Sustainable Development.

- 3.9. The following shall be applicable for selection of VVB for verification of project under the Registry for issuance of MCUs
- (i) VVB shall be empanelled under the Registry for the sectoral scope(s) of the project.
  - (ii) VVB which has undertaken the last auditing activity of the project under CDM as a DOE (example, validation for Post Registration Changes, verification of monitoring, *etc.*) shall not be allowed to undertake the first verification of project under the Registry.
  - (iii) VVB which has undertaken validation of project for registration under the Registry shall not be allowed to undertake the first verification of project under the Registry.
  - (iv) Rules and requirements for rotation of VVBs as established in VVS shall be applicable after the first verification of the project under the Registry.
- 3.10. IPP(s) shall completely adhere to rules, requirements and procedures as established in RIP for issuance of MCUs and shall pay fee as per the CR-I Fee Schedule.

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## **DOCUMENT HISTORY**





Version	Date	Description
Draft Ver1.0	30.12.2020	CR-I Guidelines for Special Provision for Conversion of CDM Projects provides rules, requirements and procedures for CDM projects seeking conversion to CR-I and issuance of MCUs from January 1, 2019.